

Journal Pre-proof

A Single Dose of Tranexamic Acid Reduces Blood Loss After Reverse and Anatomic Shoulder Arthroplasty: A Randomized Control Trial

Gregory Cunningham, MD, Jeffery Hughes, MBBS MS FRACS, Benoit Borner, MD, Owen Mattern, MBBS MS FRACS, Mohy E. Taha, MBBCh MD, Margaret M. Smith, PhD, Allan A. Young, MBBS MSpMed PhD FRACS, Benjamin Cass, MBBS MS FRACS

PII: S1058-2746(21)00006-9

DOI: <https://doi.org/10.1016/j.jse.2020.11.022>

Reference: YMSE 5478

To appear in: *Journal of Shoulder and Elbow Surgery*

Received Date: 30 August 2020

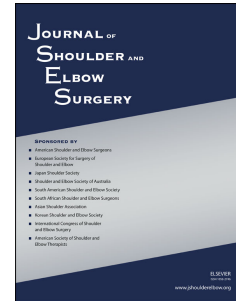
Revised Date: 11 November 2020

Accepted Date: 16 November 2020

Please cite this article as: Cunningham G, Hughes J, Borner B, Mattern O, Taha ME, Smith MM, Young AA, Cass B, A Single Dose of Tranexamic Acid Reduces Blood Loss After Reverse and Anatomic Shoulder Arthroplasty: A Randomized Control Trial, *Journal of Shoulder and Elbow Surgery* (2021), doi: <https://doi.org/10.1016/j.jse.2020.11.022>.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2021 Published by Elsevier Inc. on behalf of Journal of Shoulder and Elbow Surgery Board of Trustees.



A Single Dose of Tranexamic Acid Reduces Blood Loss After Reverse and Anatomic Shoulder Arthroplasty: A Randomized Control Trial

Authors: Gregory Cunningham, MD^{1,2}, Jeffery Hughes, MBBS MS FRACS³, Benoit Borner, MD¹, Owen Mattern, MBBS MS FRACS⁴, Mohy E. Taha MBBCh MD⁵, Margaret M. Smith, PhD⁶, Allan A. Young, MBBS MSpMed PhD FRACS³, Benjamin Cass, MBBS MS FRACS³

1) Division of Orthopaedic and Trauma Surgery, Geneva University Hospitals, Geneva, Switzerland

2) Shoulder Center, Hirslanden Clinique la Colline, Geneva, Switzerland

3) Sydney Shoulder Research Institute, Sydney, NSW, Australia

4) The Orthopaedic Group, Melbourne, VIC, Australia

5) Division of Orthopaedics and Trauma Surgery, Basel University Hospital, Basel, Switzerland

6) Institute of Bone and Joint Research, Royal North Shore Hospital, Sydney, NSW, Australia

Corresponding Author

Benoît Borner, MD

Division of Orthopaedic and Trauma Surgery

Geneva University Hospitals

Rue Gabrielle-Perret-Gentil 4

CH-1205 Genève, Switzerland

Email: benoit.borner@hcuge.ch

This study was approved by the Human Research Ethics Committee at St Vincent's Hospital (file no. 16/105) and registered with the Australian New Zealand Clinical Trial Registry (ID no. ACTRN12616000723482).

These authors, their immediate families, and any research foundation with which they are affiliated have not received any financial payments or other benefits from any commercial entity related to the subject of this article.

1 Title

2 A Single Dose of Tranexamic Acid Reduces Blood Loss After Reverse and Anatomic
3 Shoulder Arthroplasty: A Randomized Control Trial

4 5 Abstract

6 **Background:** Hematoma formation and blood transfusions are commonly reported
7 complications after shoulder arthroplasty. Tranexamic acid (TXA) has been widely used in
8 hip and knee arthroplasty to decrease perioperative blood loss. The role of TXA is still being
9 established in shoulder arthroplasty.

10 **Materials and Methods:** We conducted a double-blinded randomized controlled trial
11 comparing intravenous TXA to placebo in 60 patients undergoing primary anatomic and
12 reverse shoulder arthroplasty. 29 patients received a placebo whilst 31 received a single dose
13 of 2g of intravenous TXA. Patient demographics as well as drain tube output, blood loss,
14 hematoma formation, transfusion requirement, length of hospital stay and pain scores were
15 recorded. Patients were followed up for 12 weeks to assess for complications.

16 **Results:** Patients who received TXA had lower drain tube outputs at all time points, 41ml
17 compared to 133ml at 6 hours, 75ml compared to 179ml at 12 hours and 94ml compared to
18 226ml at 24 hours (all $P<0.001$). They also had higher postoperative Hb (12.3 vs 11.4;
19 $P=0.009$), lower change in Hb (1.7 vs 2.3; $P=0.011$), lower total Hb loss (0.078g vs 0.103g;
20 $P=0.042$) and blood volume loss (0.55L vs 0.74L; $P=0.021$), higher postoperative hematocrit
21 (36.7 vs 34.6; $P=0.020$) and lower hematocrit change (5.4 vs 7.6; $P=0.022$). There was no
22 significant difference in pain scores or length of hospital stay and no patients required a
23 transfusion.

24 **Conclusion:** A single dose of 2g intravenous Tranexamic Acid decreases blood loss and
25 drain tube output in primary anatomic and reverse arthroplasty of the shoulder. There were no

26 differences detected in occurrence of complications, need for transfusion, pain scores or
27 length of hospital stay. With the mounting evidence now available, patients undergoing an
28 elective primary shoulder arthroplasty should be given intravenous TXA to decrease peri-
29 operative blood loss.

30 **Keywords:** shoulder arthroplasty; total shoulder arthroplasty; reverse shoulder arthroplasty;
31 Tranexamic acid; TXA

32 **Level of evidence:** Level I; Randomized Controlled Trial; Treatment Study

33

34

35 Shoulder arthroplasty is becoming a more frequently performed procedure, increasing by
36 11.1% in Australia from 2015 to 2016, and by 115.5% since 2008. This is a steeper increase
37 than either hip or knee replacements³. Perioperative management of blood loss and bleeding
38 has also become an increasing area of interest in shoulder arthroplasty. Hematoma formation
39 is one of the most commonly recorded complications of reverse shoulder arthroplasty^{51, 11},
40 whilst the blood transfusion rate following shoulder arthroplasty has a reported range from
41 3.9% to 43%^{2, 7, 19, 20, 32, 39, 40}. An analysis showed an increase in rate of transfusions after
42 shoulder arthroplasty in recent years³⁸, which may be due to the increased number of
43 revisions^{2, 51}.

44 ~~Decreasing peri-operative bleeding and limiting the rates of blood transfusion is therefore~~
45 ~~clearly important.~~ Allogenic blood transfusion has been linked to an increase in postoperative
46 bacterial infections²³, respiratory tract infections and wound inflammation¹². In shoulder
47 arthroplasty specifically, it has been associated with increased rates of myocardial infarction,
48 respiratory infections, sepsis, venous thromboembolism and cerebrovascular accidents as
49 well as an increased rate of peri-prosthetic joint infection, which appears to be dose
50 dependent^{10, 18}. With the peri-prosthetic infection rate of around 1% remaining unchanged

51 between 2002 and 2011³³, it is important to establish treatments that can affect infection
52 rates such as improved peri-operative blood management.

53 Tranexamic acid (TXA) has been widely studied and used in lower limb arthroplasty as well
54 as spine and trauma surgery to decrease perioperative blood loss and transfusion rates^{5, 9, 24-26,}
55 ^{30, 37, 42, 46, 50}.

56 TXA inhibits fibrinolysis indirectly, by competitively blocking lysine-binding sites on
57 plasminogen. ~~Tranexamic acid acts by inhibiting fibrinolysis. It does this indirectly, by~~
58 ~~competitively blocking lysine binding sites on plasminogen, leading to a decreased affinity~~
59 ~~for plasminogen to bind to fibrin, decreasing the activation of plasmin⁴⁴.~~ Its use and safety in
60 shoulder surgery is currently being investigated ~~established~~, but it has been shown to be safe
61 and effective in lower limb surgery without increasing the thromboembolic rate^{5, 14, 22, 31, 42}.

62 We conducted a prospective randomized double blinded trial to test our hypothesis that
63 intravenous TXA would decrease drainage volume and bleeding, improve surgical field
64 visibility and decrease surgical time and complexity, as well as decrease blood loss, need for
65 transfusion, hematoma formation, hospital stay and improve postoperative pain, in primary
66 total anatomic or reverse shoulder arthroplasty.

67

68 **Materials and Methods**

69 *Study design and patient enrolment and randomization*

70 This is a double-blinded randomized controlled trial comparing intravenous TXA to placebo
71 in 60 patients undergoing primary anatomic and reverse shoulder arthroplasty. 29 patients
72 received a placebo whilst 31 received a single dose of 2g of intravenous TXA.

73 This study was approved by the Human Research Ethics Committee at St Vincent's Hospital
74 (file number 16/105) and registered with the Australian New Zealand Clinical Trial Registry
75 (ID number ACTRN12616000723482).

76 Between April 2016 and September 2018, all consecutive eligible patients who were to
77 undergo shoulder arthroplasty after failed non-operative treatment were prospectively
78 enrolled into the trial. The patients were given a standardized information sheet about the
79 study and completed an Informed Patient Consent form.

80 Patients were randomized to either the treatment group of 2g TXA given intravenously or a
81 saline placebo solution. They were block-randomized (n=6) in a double-blind fashion via a
82 sealed, numbered envelope, which was opened by the anesthetist on the day of the surgery.
83 Only the anesthetist and study coordinator were aware of the patient's allocated group. Each
84 surgeon's patients were separately randomized (separate pile of envelopes) to avoid potential
85 selection bias.

86 The inclusion criteria were any patient undergoing primary anatomic or reverse shoulder
87 replacement who consented to participation in the study.

88 The exclusion criteria were allergy to TXA, history of seizure, revision arthroplasty, refusal
89 to have potential blood transfusion, and any known coagulopathy or preoperative use of
90 anticoagulant agents.

91 *Surgical and treatment protocol*

92 Shoulder replacements were performed by three high volume senior shoulder specialized
93 surgeons, (**BC, AY, JH**, blinded for review purpose), who routinely perform shoulder
94 replacements in the semi-beach chair position via a standard delto-pectoral approach. The
95 procedure was performed under general anesthesia with an interscalenic block. Patients were
96 administered either TXA or placebo (saline) before skin incision. At the end of the procedure
97 each patient had a drain tube placed deep to the deltoid, exiting laterally to the distal part of
98 the incision, which was then removed 24 hours post operatively. All patients received
99 thrombo-prophylaxis with sequential calf compressors, graduated compression stockings and
100 low molecular weight heparin. Postoperative protocols regarding use of sling and permitted

101 range of motion followed each surgeon's preference. All patients had standard follow-up
102 appointments at 2, 6 and 12 weeks.

103 *Outcome measurement*

104 Patient demographics including age, sex, hand dominance, relevant comorbidities, BMI and
105 ASA classification were recorded. Preoperative hemoglobin (Hb) and hematocrit were also
106 recorded. Operative time was recorded, as well as subjective parameters rated by the surgeon,
107 including intraoperative surgical field visibility (poor, fair, good, excellent) and procedure
108 complexity (less than usual, as usual, more than usual).

109 Postoperatively all patients had their drain tube output recorded at 6, 12 and 24 hours, as well
110 as need for a blood transfusion, hematoma formation, recovery room duration, overall
111 hospital duration and pain visual analogue score (VAS) at 24 hours. Blood loss was also
112 calculated using the Hb balance method¹⁵. Any adverse events were noted and reported until
113 the 12-week mark. The threshold for blood transfusion was a postoperative Hb lower than
114 7.0g/dL, or 9.0g/dL with symptoms (fatigue, breathlessness, chest pain, tachycardia, fatigue).

115 *Statistical analysis*

116 All statistical analyses were performed using Stata 15. A sample size power calculation was
117 performed with drain output as the primary variable and alpha set at 0.05. From previous
118 studies on arthroplasty in other joints, we estimated that the drainage output would reduce
119 40% when TXA treatment was given. Using standard deviations in drainage reported in the
120 literature (30%), a group number of 20 would be required to give a power of 99%. For blood
121 loss as variable, a reduction of 25% with a 25% standard deviation requires a group $n = 27$ to
122 give 95% power. A group number of 30 (60 total) was thus selected to ensure enough power
123 to detect expected differences in these two variables.

124 Differences between treatment groups were determined using Students T tests (normally
125 distributed continuous data), Chi-squared test of proportions or Mann-Whitney U ranked tests

126 (non-normally distributed or ordinal data) as indicated in the results tables. Subgroup analysis
127 was carried out between the different types of prosthesis (reverse versus anatomic) using the
128 same tests. The effect of demographic and treatment variables on surgery outcomes
129 (operating time, procedural complexity, field visibility, postop pain, length of hospital stay)
130 was measured using mixed model regression, correcting for site of operation (see Appendix).
131 Any P value <0.05 was considered as statistically significant.

132

133 **Results**

134 Of the 100 patients screened to be enrolled in the trial, 26 were excluded and 11 chose not to
135 participate (Figure I). There were 63 patients who qualified and were randomized. 31 patients
136 were randomized to placebo, of which 2 were excluded (1 due to incorrect randomization and
137 1 whose drain tube dislodged and was removed early). 32 patients were allocated to receive
138 TXA of which 1 was excluded because the procedure got cancelled due to concerns regarding
139 infection. There was no loss to follow-up leaving 29 patients in the placebo group and 31
140 patients in the intervention group at 6 weeks. There were 19 reverse shoulder arthroplasties
141 (RSA) and 12 total anatomic shoulder arthroplasties (TSA) in the TXA group, 22 RSA and 7
142 TSA in the control group. There was no significant difference between procedure type in both
143 groups ($P=0.11$)

144 Patient demographics were similar in both groups (Table I). Patients who received TXA were
145 found to have a higher postoperative Hb of 12.3 compared to 11.4 ($P=0.009$) and lower
146 change in Hb from preoperative to postoperative of 1.7 compared to 2.3 ($P=0.011$). Using the
147 Hb balance method, patients who received TXA had lower total Hb loss, 0.078g compared to
148 0.103g ($P=0.042$) and lower blood volume loss of 0.55L compared to 0.74L ($P=0.021$).
149 Hematocrit postoperatively was higher in the TXA group at 36.7 compared to 34.6 ($P=0.020$)
150 and hematocrit change from preoperatively was lower at 5.4 compared to 7.6 ($P=0.022$).

151 Drain tube output was significantly lower at all time points in patients that received TXA
152 with the 6-hour measure being 41ml compared to 133ml ($P<0.001$), the 12-hour measure
153 being 75ml compared to 179ml ($P<0.001$) and the 24-hour measure being 94ml compared to
154 226ml ($P<0.001$). There was one hematoma in the placebo group, clinically defined as a
155 painful colored swelling of the operative site and/or arm, and none in the TXA group; this
156 was not significant. No patient in either the placebo group or TXA group received a blood
157 transfusion, and we found no significant difference in operative field visibility, operative
158 complexity, operative time, time in recovery, hospital admission stay or pain scores at 24
159 hours (Table II).

160 When we analyzed the outcomes by procedure there was no statistically significant difference
161 between anatomic or reverse total shoulder replacements (Table III). We also found that
162 surgery time, procedural complexity, field visibility, postoperative pain, time in recovery and
163 length of hospital admission was not affected by age (all $P\geq 0.35$ except for the length in
164 PACU where $P=0.01$), gender (all $P\geq 0.092$), BMI (all $P\geq 0.52$), operated side (all $P\geq 0.13$), or
165 ASA class (all $P\geq 0.13$) (see Appendix).

166

167 **Discussion**

168 The results of this study show that a single dose of 2g of intravenous TXA provided a simple
169 and effective dosing regimen to decrease blood loss and drain tube output. While we did not
170 find any difference in the pain scores this may have been due to the timing of when these
171 scores were taken as the nerve block may have still had some effect. We also did not have
172 any transfusions in either group and only one hematoma in the placebo group. No adverse
173 events were recorded, which is consistent with the recently published meta-analyses of TXA
174 use in shoulder arthroplasty that found no adverse events across all studies^{4, 21, 28, 43, 49}. This is
175 also consistent with results from hip and knee arthroplasty^{14, 16, 25, 36, 50}.

176 TXA use in shoulder arthroplasty has shown significant recent interest. Gillespie et al in a
177 prospective randomized trial demonstrated a decrease in drain tube output and drop in
178 hemoglobin in patients given topical TXA intraoperatively compared to normal saline ¹⁷.
179 Intravenous TXA administration has also shown similar results ⁴⁸. Vara et al showed in their
180 prospective randomized trial that two doses of intravenous TXA of 10mg/kg (one given 60
181 minutes before surgery and one given at wound closure) had significantly less blood loss,
182 total Hb loss and drain tube output compared to placebo. 14.3% of the placebo group and
183 5.7% of the TXA group required a transfusion post operatively ⁴⁵.
184 Pauzenberger et al also found similar results, with lower blood loss and lower drain tube
185 output, using two doses of TXA (1g given within 30 minutes of skin incision and 1g given at
186 wound closure). They also found lower hematoma rates and improved pain scores. There
187 were no transfusions in either the TXA group or placebo group ³⁴.
188 In a further randomized trial, Cvetanovich et al found that a single dose of 1g of TXA
189 produced lower blood loss but no difference in drain output ⁶. Single dose TXA
190 administration was also found to be successful in non-randomized trials for decreasing blood
191 loss and drain tube output, with Kim et al using 500mg in their South Korean population,
192 Friedman et al using 20mg/kg and Abildgaard et al using 1g ^{1, 12, 27}.
193 The ideal TXA dose regime is still yet to be established in arthroplasty surgery, with
194 considerable variation in all published data for both lower limb and shoulder arthroplasty.
195 Fixed dose and weight-dependent dosing have been used, as has single and multiple dosing
196 with no clear consensus as to the most effective regime ^{4, 5, 14, 24, 28, 31, 41, 43}. There is also
197 considerable debate in lower limb arthroplasty about intravenous versus intra-articular TXA
198 dosing, with a recent meta-analysis by Gianakos et al showing that intra-articular dosing
199 either on its own or combined with intravenous dosing led to less blood loss and drain tube
200 output ¹⁶. Recent studies seem to show similar results in shoulder arthroplasty examined ⁴⁸.

201 According to another meta-analysis concerning lower limb arthroplasty, combined
202 administration of tranexamic acid is associated with significantly reduced total blood loss,
203 postoperative hemoglobin decline, drainage volume, and transfusion requirements in
204 comparison with single application³⁵.

205 The role and safety of TXA in patients at high risk for thromboembolic events is still to be
206 established. Three large database studies on hip and knee arthroplasty patients showed no
207 increased risk of thromboembolic events in those with risk factors, however not all patients
208 were given TXA, with some excluded due to their risk factors^{8, 36, 47}. This study further adds
209 to the published data about safety of the use of TXA in shoulder arthroplasty.

210 This study presented several strengths and limitations. Firstly, it is a multi-surgeon,
211 randomized and controlled trial, including mixed type of procedures (RSA, TSA), with no
212 loss to follow-up. Secondly, it also analyzed additional parameters than blood loss, such as
213 hospital stay length, as well as subjective parameters including procedure complexity and
214 surgical field visibility. Although no patient was lost to follow-up, a first limitation to this
215 study was the rather limited number of patients. This is partly related to the strict exclusion
216 criteria such as the use of preoperative anticoagulant or antiaggregant, which would have
217 negated the results. Greater numbers of patients would be required to detect significant
218 differences in some of the secondary variables. Based on the results presented here, a post-
219 hoc analysis reveals that to get a significant difference in operating time or length of hospital
220 stay with 80% power would have required 104 and 372 patients per group, respectively. With
221 the number of patients used, it was not possible to carry out in-depth cost-effectiveness
222 analysis. Secondly, the average patient BMI was 30.3 with an average weight of 82.5kg. The
223 dose of 2g may not be applicable for all population groups. However, a recent metanalysis
224 showed no difference when using a weight-adjusted dose than a fixed dose²⁹. 2g appeared to

225 be as safe as 1g, which has been used in previous studies^{1, 17}. Moreover, a fixed dose is easier
226 to administer than a weight-corrected dose, limiting the risk of error.

227

228 **Conclusion**

229 A single dose of 2g intravenous Tranexamic Acid decreases blood loss and drain tube output
230 in primary anatomic and reverse arthroplasty of the shoulder, with no significant difference
231 between the latter. There was no difference in occurrence of complications, need for
232 transfusion, pain scores or length of hospital stay. With the mounting evidence now available,
233 patients undergoing an elective primary shoulder arthroplasty should be given TXA to
234 decrease peri-operative blood loss.

235

236 **References**

- 237 1) Abildgaard, J.T., McLemore, R., Hatstrup, S.J., 2016. Tranexamic acid decreases
238 blood loss in total shoulder arthroplasty and reverse total shoulder arthroplasty.
239 Journal of Shoulder and Elbow Surgery 25, 1643–1648.
240 <https://doi.org/10.1016/j.jse.2016.02.002>
- 241 2) Ahmadi, S., Lawrence, T.M., Sahota, S., Schleck, C.D., Harmsen, W.S., Cofield,
242 R.H., Sperling, J.W., 2014. The incidence and risk factors for blood transfusion in
243 revision shoulder arthroplasty: our institution's experience and review of the
244 literature. Journal of Shoulder and Elbow Surgery 23, 43–48.
245 <https://doi.org/10.1016/j.jse.2013.03.010>
- 246 3) Australian Orthopaedic Association National Joint Replacement Registry
247 (AOANJRR), 2017. Hip, Knee and Shoulder Arthroplasty.
- 248 4) Box, H.N., Tisano, B.S., Khazzam, M., 2018. Tranexamic acid administration for
249 anatomic and reverse total shoulder arthroplasty: a systematic review and meta-
250 analysis. JSES Open Access 2, 28–33. <https://doi.org/10.1016/j.jses.2017.12.004>
- 251 5) Boyle, J., Soric, M., 2017. Impact Of Tranexamic Acid in Total Knee and Total Hip
252 Replacement. <https://doi.org/10.1177/0897190015621813>
- 253 6) Cvetanovich, G.L., Fillingham, Y.A., O'Brien, M., Forsythe, B., Cole, B.J., Verma,
254 N.N., Romeo, A.A., Nicholson, G.P., 2018. Tranexamic acid reduces blood loss after

- 255 primary shoulder arthroplasty: a double-blind, placebo-controlled, prospective,
256 randomized controlled trial. *JSES Open Access* 2, 23–27.
257 <https://doi.org/10.1016/j.jses.2018.01.002>
- 258 7) Dacombe, P., Kendall, J., McCann, P., Packham, I., Sarangi, P., Whitehouse, M.,
259 Crowter, M., 2018. Blood Transfusion Rates Following Shoulder Arthroplasty In A
260 High Volume UK Centre And Analysis Of Risk Factors Associated With Transfusion.
261 <https://doi.org/10.1177/1758573218774317>
- 262 8) Duncan, C.M., Gillette, B.P., Jacob, A.K., Sierra, R.J., Sanchez-Sotelo, J., Smith,
263 H.M., 2015. Venous Thromboembolism and Mortality Associated With Tranexamic
264 Acid Use During Total Hip and Knee Arthroplasty. *The Journal of Arthroplasty* 30,
265 272–276. <https://doi.org/10.1016/j.arth.2014.08.022>
- 266 9) Dunn, C.J., Goa, K.L., 1999. Tranexamic Acid: A Review of its Use in Surgery and
267 Other Indications. *Drugs* 57, 1005–1032.
- 268 10) Everhart, J.S., Bishop, J.Y., Barlow, J.D., 2017. Medical comorbidities and
269 perioperative allogeneic red blood cell transfusion are risk factors for surgical site
270 infection after shoulder arthroplasty. *Journal of Shoulder and Elbow Surgery* 26,
271 1922–1930. <https://doi.org/10.1016/j.jse.2017.04.006>
- 272 11) Farshad, M., Gerber, C., 2010. Reverse total shoulder arthroplasty—from the most to
273 the least common complication. *International Orthopaedics (SICOT)* 34, 1075–1082.
274 <https://doi.org/10.1007/s00264-010-1125-2>
- 275 12) Friedman, R., Homering, M., Holberg, G., Berkowitz, S.D., 2014. Allogeneic Blood
276 Transfusions and Postoperative Infections After Total Hip or Knee Arthroplasty: The
277 *Journal of Bone & Joint Surgery* 96, 272–278. <https://doi.org/10.2106/JBJS.L.01268>
- 278 13) Friedman, R.J., Gordon, E., Butler, R.B., Mock, L., Dumas, B., 2016. Tranexamic
279 acid decreases blood loss after total shoulder arthroplasty. *Journal of Shoulder and*
280 *Elbow Surgery* 25, 614–618. <https://doi.org/10.1016/j.jse.2015.09.014>
- 281 14) Gandhi, R., Evans, H.M., Mahomed, S.R., Mahomed, N.N., 2013. Tranexamic acid
282 and the reduction of blood loss in total knee and hip arthroplasty: a meta-analysis.
283 *BMC Res Notes* 6, 184. <https://doi.org/10.1186/1756-0500-6-184>
- 284 15) Gao, F.-Q., Li, Z.-J., Zhang, K., Sun, W., Zhang, H., 2015. Four Methods for
285 Calculating Blood-loss after Total Knee Arthroplasty: *Chinese Medical Journal* 128,
286 2856–2860. <https://doi.org/10.4103/0366-6999.168041>
- 287 16) Gianakos, A.L., Hurley, E.T., Haring, R.S., Yoon, R.S., Liporace, F.A., 2018.
288 Reduction of Blood Loss by Tranexamic Acid Following Total Hip and Knee

- 289 Arthroplasty: A Meta-Analysis. JBJS Reviews 6, e1.
290 <https://doi.org/10.2106/JBJS.RVW.17.00103>
- 291 17) Gillespie, R., Shishani, Y., Joseph, S., Streit, J.J., Gobezie, R., 2015. Neer Award
292 2015: A randomized, prospective evaluation on the effectiveness of tranexamic acid
293 in reducing blood loss after total shoulder arthroplasty. *Journal of Shoulder and*
294 *Elbow Surgery* 24, 1679–1684. <https://doi.org/10.1016/j.jse.2015.07.029>
- 295 18) Grier, A.J., Bala, A., Penrose, C.T., Seyler, T.M., Bolognesi, M.P., Garrigues, G.E.,
296 2017. Analysis of complication rates following perioperative transfusion in shoulder
297 arthroplasty. *Journal of Shoulder and Elbow Surgery* 26, 1203–1209.
298 <https://doi.org/10.1016/j.jse.2016.11.039>
- 299 19) Gruson, K.I., Accousti, K.J., Parsons, B.O., Pillai, G., Flatow, E.L., 2009. Transfusion
300 after shoulder arthroplasty: An analysis of rates and risk factors. *Journal of Shoulder*
301 *and Elbow Surgery* 18, 225–230. <https://doi.org/10.1016/j.jse.2008.08.005>
- 302 20) Hardy, J.C., Hung, M., Snow, B.J., Martin, C.L., Tashjian, R.Z., Burks, R.T., Greis,
303 P.E., 2013. Blood transfusion associated with shoulder arthroplasty. *Journal of*
304 *Shoulder and Elbow Surgery* 22, 233–239. <https://doi.org/10.1016/j.jse.2012.04.013>
- 305 21) He, J., Wang, X., Yuan, G.-H., Zhang, L.-H., 2017. The efficacy of tranexamic acid in
306 reducing blood loss in total shoulder arthroplasty: A meta-analysis. *Medicine* 96,
307 e7880. <https://doi.org/10.1097/MD.00000000000007880>
- 308 22) Henry, D.A., Carless, P.A., Moxey, A.J., O'Connell, D., Stokes, B.J., Fergusson,
309 D.A., Ker, K., 2011. Anti-fibrinolytic use for minimising perioperative allogeneic
310 blood transfusion, in: *The Cochrane Collaboration (Ed.), Cochrane Database of*
311 *Systematic Reviews*. John Wiley & Sons, Ltd, Chichester, UK, p. CD001886.pub3.
312 <https://doi.org/10.1002/14651858.CD001886.pub3>
- 313 23) Hill, G.E., Frawley, W.H., Griffith, K.E., Forestner, J.E., Minei, J.P., 2003.
314 Allogeneic Blood Transfusion Increases the Risk of Postoperative Bacterial Infection:
315 A Meta-analysis: *The Journal of Trauma: Injury, Infection, and Critical Care* 54, 908–
316 914. <https://doi.org/10.1097/01.TA.0000022460.21283.53>
- 317 24) Huang, F., Wu, D., Ma, G., Yin, Z., Wang, Q., 2014. The use of tranexamic acid to
318 reduce blood loss and transfusion in major orthopedic surgery: a meta-analysis.
319 *Journal of Surgical Research* 186, 318–327. <https://doi.org/10.1016/j.jss.2013.08.020>
- 320 25) Huang, F., Wu, Y., Yin, Z., Ma, G., Chang, J., 2015. A Systematic Review and Meta-
321 Analysis of the Use of Antifibrinolytic Agents in Total Hip Arthroplasty. *HIP*
322 *International* 25, 502–509. <https://doi.org/10.5301/hipint.5000285>

- 323 26) Kagoma, Y.K., Crowther, M.A., Douketis, J., Bhandari, M., Eikelboom, J., Lim, W.,
324 2009. Use of antifibrinolytic therapy to reduce transfusion in patients undergoing
325 orthopedic surgery: A systematic review of randomized trials. *Thrombosis Research*
326 123, 687–696. <https://doi.org/10.1016/j.thromres.2008.09.015>
- 327 27) Kim, S.H., Jung, W.I., Kim, Y.J., Hwang, D.H., Choi, Y.E., 2017. Effect of
328 Tranexamic Acid on Hematologic Values and Blood Loss in Reverse Total Shoulder
329 Arthroplasty. *BioMed Research International* 2017, 1–5.
330 <https://doi.org/10.1155/2017/9590803>
- 331 28) Kirsch, J.M., Bedi, A., Horner, N., Wiater, J.M., Pauzenberger, L., Koueiter, D.M.,
332 Miller, B.S., Bhandari, M., Khan, M., 2017. Tranexamic Acid in Shoulder
333 Arthroplasty: A Systematic Review and Meta-Analysis. *JBJS Reviews* 5, e3.
334 <https://doi.org/10.2106/JBJS.RVW.17.00021>
- 335 29) Kuo, L.-T., Hsu, W.-H., Chi, C.-C., Yoo, J.C., 2018. Tranexamic acid in total
336 shoulder arthroplasty and reverse shoulder arthroplasty: a systematic review and
337 meta-analysis. *BMC Musculoskelet Disord* 19, 60. [https://doi.org/10.1186/s12891-](https://doi.org/10.1186/s12891-018-1972-3)
338 [018-1972-3](https://doi.org/10.1186/s12891-018-1972-3)
- 339 30) McCormack, P.L., 2012. Tranexamic Acid: A Review of its Use in the Treatment of
340 Hyperfibrinolysis. *Drugs* 72, 585–617. [https://doi.org/10.2165/11209070-000000000-](https://doi.org/10.2165/11209070-000000000-00000)
341 [00000](https://doi.org/10.2165/11209070-000000000-00000)
- 342 31) Melvin, J.S., Stryker, L.S., Sierra, R.J., 2015. Tranexamic Acid in Hip and Knee
343 Arthroplasty. *Journal of the American Academy of Orthopaedic Surgeons* 23, 9.
344 <http://dx.doi.org/10.5435/JAAOS-D-14-00223>
- 345 32) Millett, P.J., Porramatikul, M., Chen, N., Zurakowski, D., Warner, J.J.P., n.d.
346 Analysis of Transfusion Predictors in Shoulder Arthroplasty. 88:1223-1230.
347 [doi:10.2106/JBJS.E.00706](https://doi.org/10.2106/JBJS.E.00706)
- 348 33) Padegimas, E.M., Maltenfort, M., Ramsey, M.L., Williams, G.R., Parvizi, J.,
349 Namdari, S., 2015. Periprosthetic shoulder infection in the United States: incidence
350 and economic burden. *Journal of Shoulder and Elbow Surgery* 24, 741–746.
351 <https://doi.org/10.1016/j.jse.2014.11.044>
- 352 34) Pauzenberger, L., Domej, M.A., Heuberger, P.R., Hexel, M., Grieb, A., Laky, B.,
353 Blasl, J., Anderl, W., 2017. The effect of intravenous tranexamic acid on blood loss
354 and early postoperative pain in total shoulder arthroplasty. *The Bone & Joint Journal*
355 99-B, 1073–1079. <https://doi.org/10.1302/0301-620X.99B8.BJJ-2016-1205.R1>

- 356 35) Peng Zhang, M.M., Jifeng Li, M.M., Xiao Wang, M.M., 2017. Combined versus
357 single application of tranexamic acid in total knee and hip arthroplasty: A meta-
358 analysis of randomized controlled trials. *International Journal of Surgery* 43, 171–
359 180. <https://doi.org/10.1016/j.ijso.2017.05.065>
- 360 36) Poeran, J., Rasul, R., Suzuki, S., Danninger, T., Mazumdar, M., Opperer, M.,
361 Boettner, F., Memtsoudis, S.G., 2014. Tranexamic acid use and postoperative
362 outcomes in patients undergoing total hip or knee arthroplasty in the United States:
363 retrospective analysis of effectiveness and safety. *BMJ* 349, g4829–g4829.
364 <https://doi.org/10.1136/bmj.g4829>
- 365 37) Ramirez, R.J., Spinella, P.C., Bochicchio, G.V., 2017. Tranexamic Acid Update in
366 Trauma. *Critical Care Clinics* 33, 85–99. <https://doi.org/10.1016/j.ccc.2016.08.004>
- 367 38) Ryan, D.J., Yoshihara, H., Yoneoka, D., Zuckerman, J.D., 2015. Blood transfusion in
368 primary total shoulder arthroplasty: incidence, trends, and risk factors in the United
369 States from 2000 to 2009. *Journal of Shoulder and Elbow Surgery* 24, 760–765.
370 <https://doi.org/10.1016/j.jse.2014.12.016>
- 371 39) Schumer, R.A., Chae, J.S., Markert, R.J., Sprott, D., Crosby, L.A., 2010. Predicting
372 transfusion in shoulder arthroplasty. *Journal of Shoulder and Elbow Surgery* 19, 91–
373 96. <https://doi.org/10.1016/j.jse.2009.05.001>
- 374 40) Sperling, J.W., Duncan, S.F.M., Cofield, R.H., Schleck, C.D., Harmsen, W.S., 2005.
375 Incidence and risk factors for blood transfusion in shoulder arthroplasty. *Journal of*
376 *Shoulder and Elbow Surgery* 14, 599–601. <https://doi.org/10.1016/j.jse.2005.03.006>
- 377 41) Stowers, M.D.J., Munro, J.T., Hill, A.G., 2016. Tranexamic acid in total joint
378 arthroplasty: TXA in arthroplasty. *ANZ J Surg* 86, 219–220.
379 <https://doi.org/10.1111/ans.13426>
- 380 42) Sukeik, M., Alshryda, S., Haddad, F.S., Mason, J.M., 2011. Systematic review and
381 meta-analysis of the use of tranexamic acid in total hip replacement. *The Journal of*
382 *Bone and Joint Surgery. British volume* 93-B, 39–46. [https://doi.org/10.1302/0301-](https://doi.org/10.1302/0301-620X.93B1.24984)
383 [620X.93B1.24984](https://doi.org/10.1302/0301-620X.93B1.24984)
- 384 43) Sun, C.-X., Zhang, L., Mi, L.-D., Du, G.-Y., Sun, X.-G., He, S.-W., 2017. Efficiency
385 and safety of tranexamic acid in reducing blood loss in total shoulder arthroplasty: A
386 systematic review and meta-analysis. *Medicine* 96, e7015.
387 <https://doi.org/10.1097/MD.00000000000007015>

- 388 44) Tengborn, L., Blombäck, M., Berntorp, E., 2015. Tranexamic acid – an old drug still
389 going strong and making a revival. *Thrombosis Research* 135, 231–242.
390 <https://doi.org/10.1016/j.thromres.2014.11.012>
- 391 45) Vara, A.D., Koueiter, D.M., Pinkas, D.E., Gowda, A., Wiater, B.P., Wiater, J.M.,
392 2017. Intravenous tranexamic acid reduces total blood loss in reverse total shoulder
393 arthroplasty: a prospective, double-blinded, randomized, controlled trial. *Journal of*
394 *Shoulder and Elbow Surgery* 26, 1383–1389.
395 <https://doi.org/10.1016/j.jse.2017.01.005>
- 396 46) Vigna-Taglianti, F., Basso, L., Rolfo, P., Brambilla, R., Vaccari, F., Lanci, G., Russo,
397 R., 2014. Tranexamic acid for reducing blood transfusions in arthroplasty
398 interventions: a cost-effective practice. *Eur J Orthop Surg Traumatol* 24, 545–551.
399 <https://doi.org/10.1007/s00590-013-1225-y>
- 400 47) Whiting, D.R., Gillette, B.P., Duncan, C., Smith, H., Pagnano, M.W., Sierra, R.J.,
401 2014. Preliminary Results Suggest Tranexamic Acid is Safe and Effective in
402 Arthroplasty Patients with Severe Comorbidities. *Clin Orthop Relat Res* 472, 66–72.
403 <https://doi.org/10.1007/s11999-013-3134-0>
- 404 48) Yoon, J.Y., Park, J.H., Kim, Y.S., Shin, S.J., Yoo, J.C., Oh, J.H., 2020. Effect of
405 tranexamic acid on blood loss after reverse total shoulder arthroplasty according to
406 the administration method: a prospective, multicenter, randomized, controlled study.
407 *Journal of Shoulder and Elbow Surgery* 29, 1087–1095.
408 <https://doi.org/10.1016/j.jse.2020.02.013>
- 409 49) Yu, B., Yang, G., Li, Q., Liu, L., 2017. Tranexamic acid decreases blood loss in
410 shoulder arthroplasty: A meta-analysis. *Medicine* 96, e7762.
411 <https://doi.org/10.1097/MD.00000000000007762>
- 412 50) Zhang, H., Chen, J., Chen, F., Que, W., 2012. The effect of tranexamic acid on blood
413 loss and use of blood products in total knee arthroplasty: a meta-analysis. *Knee Surg*
414 *Sports Traumatol Arthrosc* 20, 1742–1752. [https://doi.org/10.1007/s00167-011-1754-](https://doi.org/10.1007/s00167-011-1754-z)
415 [z](https://doi.org/10.1007/s00167-011-1754-z)
- 416 51) Zumstein, M.A., Pinedo, M., Old, J., Boileau, P., 2011. Problems, complications,
417 reoperations, and revisions in reverse total shoulder arthroplasty: A systematic
418 review. *Journal of Shoulder and Elbow Surgery* 20, 146–157.
419 <https://doi.org/10.1016/j.jse.2010.08.001>

421 Appendix

422 Correcting for site of operation (by mixed regression model) had no effect on the significance
423 of treatment on operating time, PACU stay or days spent in hospital.

424 Comments by objective

425 Surgery time was not significantly affected by age ($P=0.068$), gender ($P=0.43$), BMI
426 ($P=0.81$), side ($P=0.13$) or ASA class ($P>0.13$).

427 TXA did not significantly reduce surgery time ($P=0.13$; see table 2).

428 Procedural complexity was not significantly affected by age ($P=0.062$), gender ($P=0.26$),
429 BMI ($P=0.81$), side ($P=0.13$) or ASA class ($P>0.13$).

430 TXA did not significantly change the proportion of surgeries in each category of procedural
431 complexity ($P=0.40$; see table 2).

432 Field visibility was not significantly affected by age ($P=0.35$), gender ($P=0.092$), BMI
433 ($P=0.52$), side ($P=0.076$) or ASA class ($P>0.19$).

434 TXA did not significantly change the proportion of surgeries in each category of field
435 visibility ($P=0.25$; see table 2).

436 There were no transfusions given to any patient and only one hematoma (in the non-TXA
437 group).

438 Postoperative pain (by VAS) was not significantly affected by age ($P=0.60$), gender
439 ($P=0.62$), BMI ($P=0.58$), side ($P=0.72$) or ASA class ($P>0.81$).

440 Postoperative pain (by VAS) was not different between the treatment groups ($P=0.74$; table
441 2).

442 Length of hospital stay was not significantly affected by age ($P=0.068$), gender ($P=0.26$),
443 BMI ($P=0.81$), side ($P=0.13$) or ASA class ($P>0.13$).

444 TXA did not significantly reduce length of hospital stay ($P=0.13$; see table 2).

445 Not listed as objectives

446 Length of time in PACU was not significantly affected by gender ($P=0.11$), BMI ($P=0.80$),
447 side ($P=0.21$) or ASA class ($P>0.48$) but was affected by the patient's age ($P=0.001$).

448 TXA did not significantly reduce length of hospital stay time in PACU whether corrected for
449 age ($P=0.84$) or not ($P=0.70$; see table 2).

450 Patients on TXA had a higher postop hemoglobin than those not given TXA ($P=0.009$) and
451 thus had a smaller change in Hb from pre to postop ($P=0.011$; table 2).

452 Males have a significantly higher Hb (by 1.72 ± 0.26) than females ($P<0.001$).

453 Correcting for the difference between genders (in a linear regression model) the TXA
454 difference in change in Hb from pre to postop more significant ($P=0.006$).

455 Hb total ($P=0.042$) and blood volume ($P=0.021$) losses were significantly less in patients on
 456 TXA.

457 Patients on TXA had a higher postop hematocrit than those not given TXA ($P=0.020$) and
 458 thus had a smaller change in hematocrit from pre to postop ($P=0.022$; table 2).

459 Males have a significantly higher Hct (by 4.1 ± 1.0) than females ($P<0.001$).

460 Correcting for the difference between genders (in a linear regression model) makes the TXA
 461 difference in change in Hct from pre to postop more significant ($P=0.011$).

462 TXA significantly reduced drain output at all three time points measured ($P<0.001$).

463

464

465 **Legends**

466 **Figure 1**

467 Figure showing a CONSORT flowchart of the patient selection and randomization. TXA,
 468 Tranexamic Acid; TSA, Total Shoulder Replacement; RSA, Reverse Shoulder Replacement.

469 **Table 1**

470 Table illustrating the patient demographics in both groups.

471 CS, Constant Score; ASA, American Society of Anesthesiologists; SD, standard deviation;

472 TXA, tranexamic acid

473 ^aStudents t test; ^bChi-squared proportions test; ^cMann-Whitney U test

474 *Calculated by the Gross equation

475 **Table 2**

476 Table showing the results of the measured outcomes in both groups.

477 Hb, hemoglobin; Hct, hematocrit; SD, standard deviation; TXA, tranexamic acid; PACU:

478 Post-Anesthesia Care Unit; VAS: Visual Analog Scale

479 ^aStudents t test; ^bChi-squared proportions test; ^cMann-Whitney U test

480 *Using method 2 from Gao et al. (2015)

481 **Table 3**

482 Table displaying the results according to procedure type.

483 SD, standard deviation; TXA, tranexamic acid; VAS: Visual Analog Scale; PACU: Post-

484 Anesthesia Care Unit

485 ^aStudents t test; ^bChi-squared proportions test; ^cMann-Whitney U test

Table I Demographics

	Non-TXA (N = 29)		TXA group (N = 31)		<i>P</i>
	No.	% or mean (SD)	No.	% or mean (SD)	
Age at surgery (years)	29	73 (9)	31	72 (8)	0.60 ^a
Gender					
Female	23	79.3%	20	64.5%	
Male	6	20.7%	11	35.5%	0.20 ^b
Body Mass Index	29	31 (7.8)	31	30 (7.0)	0.51 ^a
Blood volume (L)*	29	4.6 ± 0.7	31	4.7 ± 1.0	0.73 ^a
Side of Surgery					
Left	12	41.4%	13	41.9%	
Right	17	58.6%	18	58.1%	0.97 ^b
Pre Op CS	25	25 (11)	29	30 (12)	0.11 ^a
ASA class					
1	1	3.4%	1	3.2%	
2	14	48.3%	21	67.7%	
3	14	48.3%	9	29.1%	0.30 ^b

CS, Constant Score; ASA, American Society of Anesthesiologists; SD, standard deviation; TXA, tranexamic acid

^aStudents t test; ^bChi-squared proportions test; ^cMann-Whitney U test

*Calculated by the Gross equation

Table II Outcome measures

	Non-TXA (N = 29)			TXA group (N = 31)			<i>P</i>
	No.	Mean	SD, [range] or %	No.	Mean	SD or %	
Hb (g/dl)							
Preoperative	29	13.8	1.1	31	14.0	1.3	0.57 ^a
Postoperative	29	11.4	1.1	31	12.3	1.3	0.009 ^a
Change pre to post	29	2.3	1.0	31	1.7	1.0	0.011 ^a
Hb total loss (g)*	29	0.103	0.044	31	0.078	0.049	0.042 ^a
Blood volume loss (L)*	29	0.74	0.29	31	0.55	0.34	0.021 ^a
Hct change (%)							
Preoperative	29	42.2	4.5	31	42.1	3.6	0.94 ^a
Postoperative	29	34.6	3.3	31	36.7	3.5	0.020 ^a
Change pre to post	29	7.6	4.4	31	5.4	2.7	0.022 ^a
6h drain output (ml)	29	133	58	31	41	40	<0.001 ^a
12h drain output (ml)	29	179	70	31	75	49	<0.001 ^a
24h drain output (ml)	29	226	87	31	94	72	<0.001 ^a
Field visibility							
Poor	1		3.4%	0		0.0%	
Fair	16		55.2%	12		41.9%	
Good	10		34.5%	11		35.5%	
Excellent	2		6.9%	6		22.6%	0.25 ^b
Procedure complexity							
Less than usual	3		10.3%	7		22.6%	
As usual	18		62.1%	18		58.1%	
More than usual	8		27.6%	6		19.3%	0.40 ^b
Haematoma	1			0			
Transfusion	0			0			
Operation time (min)	29	89	24	31	81	16	0.13 ^a
Time in PACU (min)	29	86	34	31	83	31	0.70 ^a
Hospital stay (days)	29	4.8	1.0	31	5.1	1.8	0.53 ^a
Pain VAS score	29	4	[0,8]	31	4	[0,7]	0.74 ^c

Hb, haemoglobin; *Hct*, haematocrit; *SD*, standard deviation; *TXA*, tranexamic acid

^aStudents t test; ^bChi-squared proportions test; ^cMann-Whitney U test

*Using method 2 from Gao et al. (2015)

Table III Outcome measures by procedure

	Non-TXA (N = 29)			TXA group (N = 31)			<i>P</i>
	No.	Mean	SD, [range] or %	No.	Mean	SD, [range] or %	
Procedure							
Reverse	24		83	20		65	
Anatomical	5		17	11		35	0.11 ^b
Operation time (min)							
Reverse	24	88	26	20	77	14	0.081 ^a
Anatomical	5	92	15	11	88	24	0.72 ^a
Procedure complexity							
Reverse							
Less than usual	2		8.3%	6		30%	
As usual	15		62.5%	10		50%	
More than usual	7		29.2%	4		20%	0.18 ^b
Anatomical							
Less than usual	1		20%	1		9.1%	
As usual	3		60%	8		72.7%	
More than usual	1		20%	2		18.2%	0.81 ^b
Pain VAS score							
Reverse	24	4	[0,8]	20	4	[0,7]	0.80 ^c
Anatomical	5	3	[0,5]	11	4	[1,6]	0.95 ^c
Time in PACU (min)							
Reverse	24	86	30	20	88	36	0.83 ^a
Anatomical	5	89	55	11	74	24	0.42 ^a
Hospital stay (days)							
Reverse	24	5.0	1.1	20	5.0	1.6	0.92 ^a
Anatomical	5	4.4	0.5	11	5.3	24	0.37 ^a

SD, standard deviation; *TXA*, tranexamic acid

^aStudents t test; ^bChi-squared proportions test; ^cMann-Whitney U test

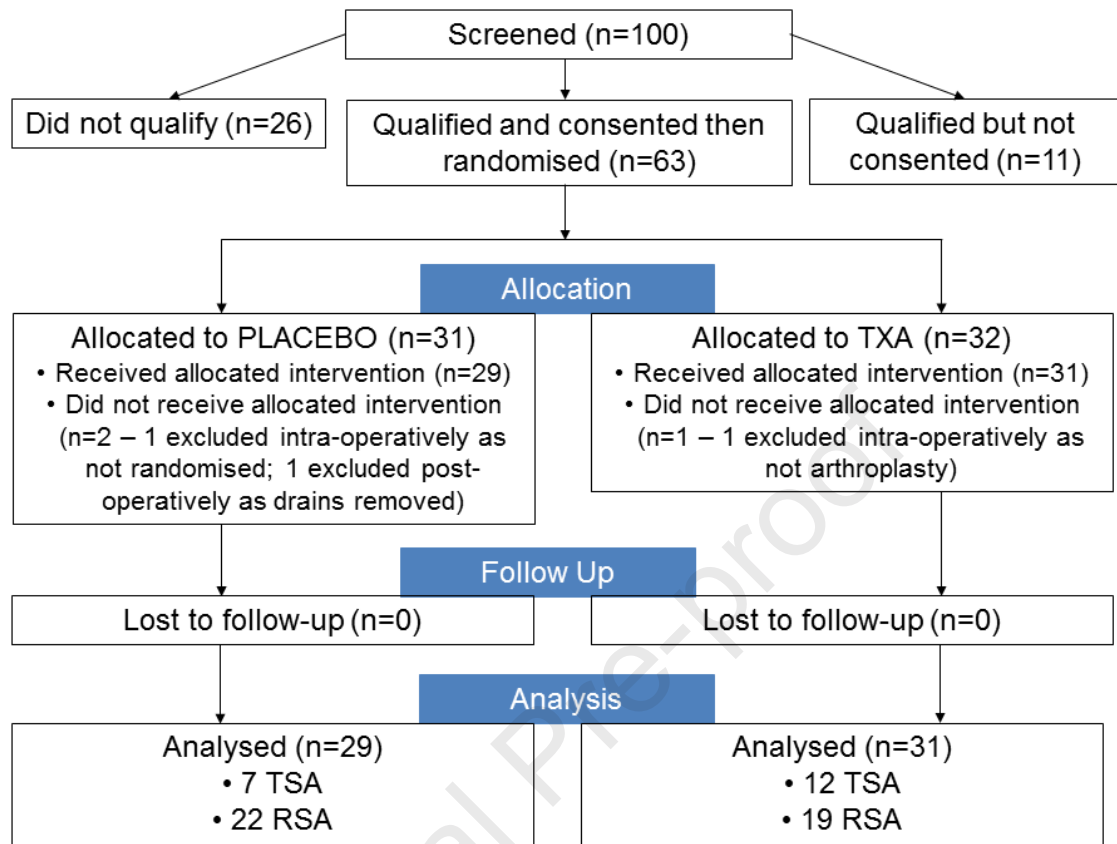
1 **Figure 1**

Figure showing a CONSORT flowchart of the patient selection and randomization. *TXA*, Tranexamic Acid; *TSA*, Total Shoulder Replacement; *RSA*, Reverse Shoulder Replacement.